

15606 U.S. PTO
10/6/1739
07/01/03

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07/01/03

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	ASC-044C1
First Named Inventor	Eugene A. Fitzgerald et al.
Title	METHOD OF FABRICATING CMOS INVERTER AND INTEGRATED CIRCUITS UTILIZING STRAINED SILICON SURFACE CHANNEL MOSFETS

APPLICATION ELEMENTS

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
2. ☐ Small Entity Status
 - ☐ Applicant claims small entity status
 - ☐ Status established in prior application and is still proper and desired
3. ☒ Specification and Drawings [Total Pages 40]
 - Written Description - (21 pages)
 - Claims - (5 pages)
 - Abstract - (1 page)
 - Sheets of Drawings - (13 sheets)
 - ☒ Formal
 - ☐ Informal
4. ☒ Oath or Declaration [Total Pages 2]
 - a. ☐ Newly executed (original)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
5. ☒ Incorporation by Reference (usable if Box 4b is checked)
The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☒ Application Data Sheet
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - ☐ Computer Readable Form (CRF)
 - ☐ Paper Copy (identical to computer copy)
 - ☐ CD (2 copies) (identical to computer copy)
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
☒ Drawings [Total Sheets 13]
12. ☒ Return Receipt Postcard (specifically itemized)
13. ☐ Certified Copy of Priority Document(s) (if foreign priority claimed)
14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)
15. ☐ CD in duplicate for large table or computer program
16. ☐ Other:

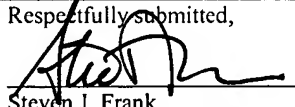
17. ☒ If a **CONTINUING APPLICATION**: Amend the specification by inserting on page 1, before the first line, the sentence:
--This is a ☒ continuation ☐ divisional ☐ continuation-in-part of prior application Serial No. 09/884,172, filed on June 19, 2001, the entire disclosure of which is incorporated by reference herein.--
Priority to the above application(s) is claimed under 35 U.S.C. 120.
Prior application information: Examiner: Christopher Lattin. Group/Art Unit: 2812.

18. ☒ **Priority - 35 U.S.C. 119**
☒ Priority of application Serial No. 60/250,985 filed on December 4, 2000 in the U.S. is claimed under 35 U.S.C. 119.
☐ The certified copy has been filed in prior U.S. application Serial No. / on .
☐ The certified copy will follow.

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100
Customer No. 021323

SIGNATURE BLOCK

Respectfully submitted,

Date: July 1, 2003
Reg. No. 33,497
Tel. No.: (617) 310-8108
Fax No.: (617) 248-7100
Steven J. Frank
Attorney for Applicant(s)
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110

FEE TRANSMITTAL **FY 2003**

Complete if Known

Application Serial Number	Not yet assigned
Filing Date	July 1, 2003
First Named Inventor	Eugene A. Fitzgerald et al.
Group Art Unit	Not yet known
Examiner Name	Not yet assigned
Attorney Docket No.	ASC-044C1

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
750	Utility filing fee	750.00
330	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
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Total Claims 16 - 20 = x \$ 18.00 = 0

Independent Claims 2 - 3 = x \$ 84.00 = 0

☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL: 750.00

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 750.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 84.00 =

☐ First Presentation of Multiple Dep. Claim + \$280.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 750.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
410	205	Extension for reply within second month	
930	465	Extension for reply within third month	
1450	725	Extension for reply within fourth month	
1970	985	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
750	375	Filing a submission after final rejection (37 CFR 1.129(a))	
750	375	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
		Assignment Recordation Fee	40.00
		Other fee (Specify)	
		Other fee (Specify)	

SUBTOTAL (3) (\$) 40.00

SUBTOTAL (1) 750.00

SUBTOTAL (2)

SUBTOTAL (3) 40.00

TOTAL (\$) 790.00

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